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**CAPACITY BUILDING SUPPORT TO THE POLICE
COMPONENT OF THE AFRICAN UNION MISSION IN SOMALIA
THROUGH JAPAN AND UNDP PARTNERSHIP**

MARCH 2017
ADDIS ABABA, ETHIOPIA

**Government of Japan and United Nations Development Programme
Ethiopia Country Office**

Project Title: Capacity Building Support to the Police Component of the African Union Mission in Somalia/AMISOM through Japan and UNDP Partnership

UNDAF Pillar: Pillar 4: Governance, Participation and Capacity Development

UNDAF Outcome 11: By 2020, key governance, justice and human rights institutions and other stakeholders apply enhanced capacities to ensure the rule of law, an efficient and accountable justice system and the promotion and protection of human rights in line with national and international instruments, standards and norms

Goal 16: Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.

Expected Project Output (s):

1. Enhanced institutional capacity of the Somalia Police Forces for peace and security.
2. Enhanced human capacity of Somali Police Force to discharge their statutory responsibilities

Implementing Partner: AMISOM Police Department

Responsible Parties: AMISOM

Brief Description of the Project

The project aims to support the Police Component of AMISOM to build the capacity of the Somalia Police Forces to function effectively and serve and protect communities by re-establishing basic policing services and functions in all areas of Somalia especially the remote and marginalized communities. The project will support and assist the Somali Police Force to conceptualize, develop and preserve internal security and maintaining law and order throughout Somalia.

Atlas Award ID: _____ Start date: April 2017 End Date: March 2018 PAC Meeting Date: Management Arrangement: NGO Implementation	Total resources required: USD 500,000.00 Total allocated resources: USD 500,000.00 • Regular (TRAC) _____ • Other: * Government of Japan USD 500,000.00 * _____ Unfunded budget: _____ In-Kind contributions: _____
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1. PROJECT SUMMARY:

Project Title	Capacity Building Support to the Police Component of the African Union Mission in Somalia Through Japan and UNDP Partnership.
Project Purpose	<p>1.To equip the Somalia Police Forces with the necessary knowledge and skills, equipment and infrastructure and empower them to discharge their statutory responsibilities in</p> <p>2.To assist and support the Somalia Police Forces to conceptualise, develop and lead implementation of a graduated response to security, citizens' reintegration, preserving internal security and maintaining law and order throughout Somalia</p>
Project Period	12 months: 1 April 2017 to 31 March 2018
Project Location	The Project will be implemented in <i>Mogadishu, Baidoa, Kismayo and Beletweyne/Jowhar</i> within the territory of the Federal Republic of Somalia and its Federal Member States
Total Estimated Budget	USD 500,000.00
Project Scope	<p>Within the framework of the mandate of AMISOM and the on-going efforts to re-establish and strengthen the Somali Federal Police Force and Somali State Police Forces, as provided under Article 126 of the Provisional Federal Constitution of Somalia, the scope of the Project encapsulates the following seven strategic areas of interventions:</p> <ol style="list-style-type: none"> 1. Reform and restructuring of the Somalia Police Forces. 2. Training and development of the Somalia Police Forces. 3. Provision of operational, crime prevention and investigation logistics to the Somalia Police Forces. 4. Construction and equipping of new Police infrastructure and rehabilitation and equipping of existing infrastructure of the Somalia Police Forces. 5. Planning and implementation of police-community partnerships programmes within the context of community based policing. 6. Empowering the Somalia Police Forces to deliver policing services that are responsive to the needs of the various Somali communities across the country, especially the protection of vulnerable groups and marginalized communities. 7. Enhancing the respect and observance of human rights and citizens' rights by all Somalia Police Forces personnel in all their operations and activities.
Project Implementation Agencies	<ol style="list-style-type: none"> 1. AMISOM Police Component and AMISOM Procurement Unit for AU/AMISOM 2. The UNDP for the Government of Japan
Project Target Group	<ol style="list-style-type: none"> 1. Somali Federal Police Force 2. Somali State Police Forces
Project Final Beneficiaries	<ol style="list-style-type: none"> 1. Somali communities and societies in central and south Somalia, especially the vulnerable groups and the youths. 2. The Somalia Police Forces. 3. Federal Government of Somalia and the Governments of its Federal Member States

In many countries of sub-Saharan Africa, people's lives are complicated by uncertainties, often damaged by displacement and violence and due to years of armed conflicts and the weakness of state institutions to maintain law and order. It is the responsibility of the affected state structures to (re-)establish security and the rule of law. The development or reform of the security sector is extremely important for the consolidation of peace and promotion of stability. It is also a key precondition for economic and social development.

Unfortunately, the security sector, in general and the police forces often lack the capacities for fulfilling these tasks properly. Often, police forces are poorly-staffed, poorly equipped, or have insufficient training and are prone to corruption. In addition, human rights and the rule of law are not adequately embedded in the institutions. Consequently, people often perceive their police force as a threat rather than a protection. Particularly in post-conflict countries, the police sometime perform their duties to only a very limited extent. Support from the international community has a key role to play in this endeavor. As part of the international peacekeeping missions, the United Nations, European Union and the Africa Union are making significant contributions to the development and reform of the security sector, especially in post-conflict countries.

Since the fall of the Government of Siad Barre in 1991, Somalia has been experiencing a protracted civil war. As a result, the state institutions have largely collapsed. Millions of Somalis have been internally displaced while millions have fled the country to seek refuge in other countries across the world. The international community continues to assist Somalia to find lasting peace and security. At its 69th meeting held on 19 January 2007 in Addis Ababa, Ethiopia, the Peace and Security Council of the African Union authorized the deployment of an African Union Mission in Somalia (AMISOM), with a mandate to (i) provide support to the Transitional Federal Institutions of Somalia in their efforts towards the stabilization of the situation in the country and the furtherance of dialogue and reconciliation, (ii) facilitate the provision of humanitarian assistance, and (iii) create conducive conditions for long-term stabilization, reconstruction and development in Somalia.

Following the evolution of the situation in Somalia and the need to consolidate the gains already made, the Peace and Security Council of the African reviewed the situation and decided, at its 356th meeting held on the 27th February 2013, in Addis Ababa, to maintain the deployment of AMISOM as a multidimensional Peace Support Operation. AMISOM has a Police Component, which is headed by a Police Commissioner appointed by the Chairperson of the African Union Commission. Under the current AMISOM Strategic Concept of Operations (CONOPS), AMISOM Police Strategic Objectives include:(a).Support the establishment of Somali Federal Police Force (SFPF) and Federal Member State Police Forces (SSPFs) by providing strategic advisory support for a comprehensive police reform, operational mentoring and training, which are critical to build and develop individual and institutional capacity of the SFPF and the SSPFs to perform basic policing and selected specialized functions; (b) Provide technical, operational and training support to SFPF & SSPF to ensure safety and security for electoral process in 2016;(c) Assist in building the management, technical, operational, administrative and training capacities of SFPF & SSPF at all levels; (d) Support and facilitate the expected gradual and condition based shift from military to police led security and extension of Rule of Law and access

UNDP has a good track record of partnering with the Government of Japan on a broad range of development and governance issues through a UNDP-Japan Partnership Fund. In 2012 UNDP, with the support of the Government of Japan launched a Project-African Center for Peace Training, an African wide capacity building initiative aiming at enhancing the capacity of policy makers, high level experts in the area of peace and development. In 2013, with funding from the Government of Japan, UNDP supported the establishment of the Ethiopian International Peace Keeping Training Center. The Center, with the overall leadership of the Government of Ethiopia and with the technical support of the Government of Japan and UNDP, trained a number of peacekeeping personnel, both civilians and the police, from the East African Region. This proposed initiative is thought to perfectly fit into UNDP's Africa Regional Programme on "Preventing and Responding to Violent Extremism in Africa" (2016 – 2019). Overall, the initiative complements UNDP's ongoing efforts as well as Japan's previous and current investments in this regard.

7. EXPECTED RESULTS OF THE PROPOSED PROJECT

Broadly, the expected results and outcomes of the Project are elaborated as follows:

- Restoration and re-establishment of policing services and functions in all areas recovered from Al-Shabaab terrorists in Somalia;
- Reassurance of Public order through high visibility of police deployments and patrols to reassure communities and reduce the fear of armed violent crime, and enhance personal/citizen security;
- Consensual policing through community based institutions (vetting, recruitment, crime fighting), to reflect the balance between mutual security and congenial blending with the community;
- Facilitation of the envisaged gradual shift from military to police led-security in Somalia; reform and restructuring of the Somalia Police Forces and ensure that they are accountable to the communities for their service delivery, to the law for their operations and to the Government for their use of resources;
- Somali Police Forces are well equipped and resourced in terms of knowledge and skills, infrastructure and equipment required to perform their statutory duties and responsibilities efficiently, to meet current and future policing and security challenges facing their communities and societies;
- Increased awareness to reduce a sense of institutional helplessness that has been brought about by the prolonged internal strife in Somalia;
- Somalia Police Forces are attentive, reliable, responsive, fair and professional in the provision of policing services to their communities; and
- Somalia Police Forces observe and respect Human Rights and the Rule of Law in all their operations and activities.

8. KEY PROJECT ACTIVITIES:

Drawn from the Project objective and scope, the key indicative activities of the Project would include but not limited to the following:

- Construction of new police infrastructures and rehabilitation of existing ones of the Somali Police Forces;

of Japan through UNDP. Japanese logo would also be publicized during reporting. The project will facilitate Japanese TA as and when the need arises, and acknowledge the same.

11. MANAGEMENT ARRANGEMENTS

The project will be implemented in coordination and collaboration with key partners: UNDP, AMISOM, and the Government of Japan represented by the embassy. The project will be implemented by Police Component of AMISOM. The AU and UNDP-Ethiopia will manage and facilitate the resource. The project will run under the UNDP NGO Implementation modality and AMISOM will be the main implementing partner. The financial resources will be provided by the Government of Japan who will also be involved in the project quality assurance. The overall management and responsibility for the project will be under the UNDP- Ethiopia Country Office who will provide a range of support services to project implementation monitoring and reporting. The project will be implemented by the involvement of UNDP Bureau of Crisis Prevention and Recovery and the fund will be channeled through the country window of the UNDP's Thematic Trust fund for Crisis Prevention and Recovery.

UNDP will be responsible for submitting interim (mid-term) and annual financial and progress reports to the Government of Japan on the implementation of the project. The UNDP and AU AMISOM Police Component will keep the Government of Japan updated on the progress of the project through formal and informal means and on a regular basis. The final report, including the financial report, shall be submitted to the Government of Japan within three months after the end of the project, in line with UN standard accounting and reporting procedures.

A Project Steering Committee will be established comprised of representatives from AU/AMISOM Police Component, the Japanese Embassy in Addis Ababa and UNDP and meet on quarterly basis. The project Steering Committee (PSC) is responsible for making consensus management decisions for the project whenever guidance is required. Based on the approved annual work plan (AWP), the PSC reviews and approves project quarterly plans when required and authorizes any major deviation from quarterly or annual work plans.

Project financial management and audit is an essential function that supports accountability for the use of UNDP managed resources. As an NGO implementation, the audit will be as per regulations through the regular external audit processes. In doing this, all stakeholders are expected to provide relevant and timely information to auditors to effectively deliver their work. The project will be audited according to UNDP rules and regulations for NIM/NGO Implemented projects.

12. MONITORING AND EVALUATION

Implementation of the Project will be in accordance with the programming policies and procedures outlined in the UNDP's Programme and Operations Policies and Procedures (POPP). The project will be monitored through quarterly reporting to steering committee

Annual Work Plan: April 2017-March 2018

Project Title: Capacity Building Support to the Police Component of the African Union Mission in Somalia (AMISOM) through Japan and UNDP Partnership
UNDAF Thematic Area: Governance and Capacity Development
Expected Outcome: Maintain peace and Security in Somalia through Supporting the Police Component of the AMISOM to Strengthen Delivery of Capacity Building Programmes to the Somalia Police Forces
Program/ Project: Strengthening AMISOM Police Component for Peace and Security in Somalia
Agency Name: UNDP

Total Budget: USD 500,000.00

EXPECTED PROJECT OUTPUTS <i>And baseline, associated indicators and annual targets</i>	PLANNED ACTIVITIES <i>List activity results and associated actions</i>	Budget by Quarter (USD) 2017 /2018 Fiscal Year					PLANNED BUDGET		
		Q1 (Apr-Jun)	Q2 (Jul-Sep)	Q3 (Oct-Dec)	Q4 (Jan-Mar)	Resp. Party	Funding Source	Budget Description	Amount (USD)
Output 1: Renovation of Police Station Indicator: Renovated Police Station Baseline: 0 Target: 4	Activity Result 1: Renovated Police Station in Mogadishu Activity 1.1. Renovation of Police Station (main Building and external Works) in Mogadishu per division Activity 1.2. Logistical Support	20,000	80,000	60,000			UNDP	Construction Cost	160,000
Output 2: Provision of IT Equipment Indicator: # of IT equipment provided Baseline:0 Target: 133 items	Activity Result 2. Strengthened and Equipped Police Station with Information Technology Activity 2.1. Provide IT equipment to Mogadishu, <i>Baidoa, Beletweyne and Kismayo</i> Police Station (see detail in the annex	30,000	32,000				UNDP	Cost of IT equipment; Installation cost: professional fee	62,000

Indicator: # of trained Trainers Baseline:0 Target: 25	Activity 3.7. Undertake Training workshop on Police-media partnership for 25 SFPF/SSPF Regional Commanders and Spokespersons	25,000					UNDP	DSA, Travel cost, Accommodation and Refreshment Hall rent, Trainers Cost	25,000.00
	GMS 8% of Total Programme Budget				37,037				37,037.00
	Total Per Quarter	50,000	244,963	168,000	37,037				
	Total Per Half Year	294,963.00	205,037.00						
	Total	500,000.00							500,000.00

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Q4. Police Training for Mogadishu, Baidoa, Kismaayo and Belet Weyne/Jowhar

S/N	Program/Project	Activities	Objectives	Timeline	Deliverables	Cost In USD	Indicators	Total cost in US\$
1	Strategic Planning and Management for 50 Police Senior Management Officers	-Vet and select 20 Senior Management SFPF Officers in Mogadishu, and 10 SFPF Senior Management Officers each in Baidoa, Kismaayo and Belet Weyne/Jowhar - Conduct two weeks course for 50 selected SFPF/SSPF Senior Management Officers in Mogadishu, Baidoa, Kismaayo, and Belet Weyne/Jowhar - Award certificates to successful participants.	Improve strategic planning and managerial skills of Senior Commanders in Mogadishu, Baidoa, Kismaayo and Belet Weyne/Jowhar	April 2017 – March 2018	50 Police Senior Management Officers trained	\$ 25,000	Improved Command and Control in the management of Federal and State Police Institutions	200,963.00
2	Strategic Planning and Management for 80 Police Middle Level Management Officers	Vet and select 20 Middle Management SFPF/SSPF Officers each in Mogadishu, Baidoa, Kismaayo and Belet Weyne/Jowhar - Conduct two weeks course for 80 SFPF/SSPF Middle Level Management Officers in Mogadishu, Baidoa, Kismaayo and Belet Weyne/Jowhar - Award certificates to successful participants.	Improve strategic planning and managerial skills of Police Commanders in Mogadishu and the Federal Member States	April 2017 – March 2018	80 Police Senior Management SFPF/SSPF Officers trained	\$ 19,000	Improved Command and Control in the management of State/Regional Police Institutions Improved management of Police formations	
3.	Junior Management	Vet and select 25 Junior Management SFPF personnel in Mogadishu and	Improve managerial skills of Police	April 2017 – March 2018	85 Junior Management SFPF/SSPF	\$ 25,000	Improved Command and Control in the	

5	Training of Trainers for 45 SFPF/SSPF Trainers in Mogadishu, Baidoa, Kismayo and Belet Weyne/Jowhar.	-Vet and select 15 SFPF Trainers in Mogadishu and 10 SSPF Trainers each in Baidoa Kismayo and Belet Weyne/Jowhar -Conduct one week (07 days) training programme for the selected personnel -Award certificates to successful participants.	Enhance the skills of SFPF/SSPF Trainers to standardize training delivery and facilitation of courses.	April 2017 – March 2018	Training of Trainers completed	\$ 20,000	Standardized Training delivery and facilitation
6.	Training of Trainers (ToT) course in Counter-Terrorism for 40 SFPF/SSPF personnel	- Vet and select 40 SFPF/SSPF Trainers (10 each from Mogadishu, Baidoa, Kiamayo, Belet Weyne/Jowhar) - Conduct two weeks training programme for the selected personnel - Conduct assessment and evaluation of the officers. - Award certificates to successful participants.	To train officers to be able to impart knowledge and skills to Somalia Police Forces personnel to be able to adequately respond to terrorist activities.	April 2017 – March 2018	Counter-terrorism course delivered to 40 SFPF/SSPF Trainers	\$22,000	Enhanced capacity to train SFPF/SSPF personnel in counter-terrorism Reduction in terrorist acts and all acts of violence. Enhanced capabilities to reduce terrorist operations
7	Aviation Security Course for SFPF/SSPF Airport Station Command	-Vet and select 20 SFPF/SSPF Airport Station Commanders and 2 I/Cs in Mogadishu, Baidoa Kismayo, Beletweyne/Jowhar and Cadaado -Conduct two weeks training	To enhance the capacity of SFPF/SSPF Airport Commanders and 2/I/Cs in aviation	April 2017 – March 2018	20 SFPF/SSPF Station Commanders and 2 I/Cs in Aviation Security and	\$15,963	The capacity of SFPF/SSPF Commanders to ensure security and safety at Airports enhanced.

